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PATENT  
81790.0211

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Koichi GEN-EI et al.

Serial No: 09/954,475

Filed: September 14, 2001

For: SEMICONDUCTOR LASER DEVICE WHICH  
REMOVES INFLUENCES FROM RETURNING  
LIGHT OF THREE BEAMS AND A METHOD OF  
MANUFACTURING THE SAME

Art Unit: 2881

Examiner: Not assigned

I hereby certify that this correspondence  
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to:

Commissioner for Patents  
Washington D.C. 20231, on

October 25, 2001

Date of Deposit

Lawrence J. McClure

Name

Signature

Date 10/25/01

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION

Box MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted,"  
dated October 15, 2001, response to which is due December 15, 2001, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ A check in the amount of \$1,106.00 in payment of the filing fee.
- ☒ A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account  
No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: October 25, 2001

By: Lawrence J. McClure

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.

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Date: October 25, 2001

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Respectfully submitted,

HOGAN & HARTSON L.L.P.

By: 

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,475	09/14/2001	Koichi Gen-el	81790.0211

26021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611



CONFIRMATION NO. 6524

## FORMALITIES LETTER



\*OC000000006911777\*

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Date Mailed: 10/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$358.
  - \$198 for 11 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1198.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/01/2002 JAD001 00000079 09954475  
01 FC:105 130.00 OP  
02 FC:101 740.00 OP  
03 FC:102 168.00 OP  
04 FC:103 198.00 OP